TR	ANSMI	TTAL OF	INFORMATION DISCLO	DSURE STATEMENT	Docket No.	
		Un	der 37 CFR 1.97(b), (c), or	(d)	Doerr 74	
In re A	pplicatio	n of: DOI	ERR et al.			
	Serial N 10/657,8		Filing Date	Examiner	Group Art Unit	
Title:	_ 			OR IMPROVED OPTICAL M	10NITORING	
1. 🛚	The Info applicat entry of Action o	ormation other than the national s	Address Commission Alexandria, V 37 CFR 37 CFR a continued prosecution applicating as set forth in 37 CFR 1.491	I. Filing Date 9/9/03 AND A METHOD FOR IMPROVED OPTICAL MONITORING Address to: Commissioner for Patents Alexandria, Va 2313-1450 37 CFR 1.97(b) atement submitted herewith is being filed within three months of the filing of a national used prosecution application under 37 CFR 1.53(d); within three months of the date of et forth in 37 CFR 1.491 (in an international application; before the mailing of a first Office Action after the filing of a request for continued examination 37 CFR 1.97(c) tement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but nal Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action on in the application, and is accompanied by the statement or fee as indicated below. 37 CFR 1.97(d) tement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but use fee and is accompanied by the statement and fee as indicated below. tements and/or Fees Under 37 CFR 1.97(c) or (d) In contained in the accompanying Information Disclosure Statement was first cited in any foreign patent office in a counterpart foreign application not more than three months prior nation Disclosure Statement. (37 CFR 1.97(e)(1)) in the accompanying Information Disclosure Statement was cited in a communication office in a counterpart foreign application, and, to the knowledge of the undersigned easonable inquiry, no item of information contained in the accompanying Information Disclosure Statement. (37 CFR 1.97(e)(2)) 2 CFR 1.17(p). Please credit any overpayment or charge any insufficiency to deposit 22. 37 CFR §1.704(d) a accompanying Information Disclosure Statement was cited in a communication from a ergorat application and this communication was not received by any individual designated thirty days prior to the filing of the Information Disclosure Statement. Dated: March 3, 2004 Certificate of Mailing by First Class Mail I certify that this document is being deposited on 3/3/04 with the U.S. Postal Service as first class mail		
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2.	prior to	the mailing da	ite of a Final Action under 37 CFR	R 1.113, a Notice of Allowance under	r 37 CFR 1.311, or an Action	
•			37 CFR	ł 1.97(d)	•	
3. 🗆			osure Statement submitted herew	rith is being filed after the period spe		
		Each item of it communication to the filing of No item of inf from a foreign person, after Disclosure Stato the filing of	nformation contained in the accorn from a foreign patent office in a the Information Disclosure Statem formation in the accompanying Irn patent office in a counterpart making reasonable inquiry, no it atement was known to any individe the Information Disclosure Statem orth in 37 CFR 1.17(p). Please	mpanying Information Disclosure Statement. (37 CFR 1.97(e)(1)) Information Disclosure Statement was foreign application, and, to the knotem of information contained in the dual designated in 37 CFR 1.56(c) ment. (37 CFR 1.97(e)(2))	atement was first cited in any more than three months prior as cited in a communication owledge of the undersigned e accompanying Information more than three months prior	
			37 CFR	§1.704(d)		
4. Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement. Dated: March 3, 2004						
B 37 00 444						
Moser, Patterson & Sheridan, LLP			e, Suite 100	with the U.S. Postal Service as first and is addressed to the Commission VA 22313-1450. Signature of Person Ma	st class mail under 37 CFR §1.8 ioner for Patents, Alexandria, iiling Correspondence Wilson	

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Under the Pap	erwork Reduction Act of 1995, n	U.S. P o persons are required to respond to a coll	Approved for use through 07/31/20 atent and Trademark Office: U.S. DEPARTME ection of information unless it displays a valid	306. OMB 0651-003 NT OF COMMERC OMB control numbe
Substitute for form 1449A/PTO		Application Number	10/657,863	
(MAR 0 5 ZOON SE	Filing Date	9/9/03	
INFORMATION DIS	SCLOSURE	First Named Inventor	Doerr	
INFORMATION DIS	PELCANT	Group Art Unit		
		Examiner Name		
(Use as many sheets as	necessary)			

Attorney Docket Number

Submission Date

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U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials*	No. ¹	Number-Kind Code ^{2 (if known)}				
	A1	US-				
	A2	US-				
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ ⁶	
Initials*		Country Code ³ -Number ⁴ -Kind Coode ⁵ (if known)	MM-DD-YYYY				
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	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²		
		C. R. DOERR et al., "Cross-Connect-Type Wavelength Add-Drop Node With Integrated Band Muxes, Interleavers, and Monitor", Opt. Fib. Comm. Conf., March 23, 2003.			
		C. R. DOERR et al., "Integrated Band Demultiplexer Using Waveguide Grating Routers", IEEE Photonics Technology Letters, Vol. 15, No. 8, August 2003.			

Examiner Date Considered

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.